



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Oliver et al.

SERIAL NUMBER: 10/650,487

FILING DATE: August 27, 2003

TITLE: Statistical Message Classifier

EXAMINER: Nguyen

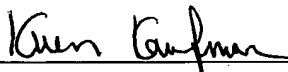
ART UNIT: 2137

ATTY. DKT. NO.: PA3628US

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 3, 2006.

  
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Karen L. Kaufman

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. §§ 1.56, and 1.97-1.98**

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references; copies of the non-patent references are enclosed.

The Examiner is requested to make these references of official record in this application. The references cited may be material to examination of the application and

are submitted in compliance with Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants hereby attach the \$180 fee required by 37 C.F.R. §1.17(p) with this submission. The Commissioner is hereby authorized to charge Deposit Account No. 06-0600 for any additional fees that may be required, or credit our account for any overpayment. A copy of this statement is submitted in duplicate for this purpose.

Respectfully submitted,  
Jonathan J. Oliver et al.

November 3, 2006

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/650,487
Filing Date	August 27, 2003
First Named Inventor	Oliver
Art Unit	2137
Examiner Name	Nguyen
Attorney Docket Number	PA3628US

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,112,227	08-29-2000	Heiner	
		US- 6,199,102	03-06-2001	Cobb	
		US-			
		US- 2005/0055410	03-10-2005	Landsman et al.	
		US- 2005/0125667	06-09-2005	Sullivan et al.	
		US- 2004/0024639	02-05-2004	Goldman	
		US- 2003/0233418	12-18-2003	Goldman	
		US- 2004/0158554	08-12-2004	Trottman	
		US-			
		US- 6,941,348	09-06-2005	Petry et al.	
		US- 6,650,890	11-18-2003	Irlam et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
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		Application Number	10/650,487		
		Filing Date	August 27, 2003		
		First Named Inventor	Oliver		
		Art Unit	2137		
		Examiner Name	Nguyen		
Sheet	2	of	3	Attorney Docket Number	PA3628US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		DWORK, Cynthia, et al. "Pricing via Processing or Combating Junk Mail," CRYPTO '92, Springer-Verlag LNCS 740, pp. 139-147, 1992.	
		VON AHN, Luis, et al., "Telling Humans and Computers Apart (Automatically) or How Lazy Cryptographers do AI," Communications to the ACM, February 2004.	
		SKOLL, David F., "How to Make Sure a Human is Sending You Mail," Google Groups Thread (Nov. 17, 1996).	
		BYRNE, Julian, "My Spamblock," Google Groups Thread (Jan. 19, 1997).	
		GUILMETTE, Ronald F., "To Mung or Not to Mung," Google Groups Thread (July 24, 1997).	
		TEMPLETON, Brad, "Viking-12 Junk E-Mail Blocker," (believed to have last been updated July 15, 2003).	
		"Majordomo FAQ," October 20, 2001.	
		LANGBERG, Mike, "Spam Foe Needs Filter of Himself," (Email Thread Dated April 5, 2003).	
		MCCULLAGH, Declan, "In-Boxes that Fight Back," News.com, May 19, 2003.	

Examiner Signature	Date Considered
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				Attorney Docket Number	PA3628US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		CRANOR, Lorrie, et al., "Spam!," Communications of the ACM, Volume 41, Issue 8, pp. 74-83, August 1998.	
		JUNG, Jaeyeon, et al., "An Empirical Study of Spam Traffic and the Use of DNS Black Lists," IMC'04, Taormina, Sicily, Italy, October 25-27, 2004.	
		WEINSTEIN, Lauren, "Spam Wars," Communications of the ACM, Volume 46, Issue 8, p. 136, August 2003.	
		GOMES, Luiz, et al., "Characterizing a Spam Traffic," in the Proc. of the 4th ACM SIGCOMM Conference on Internet Measurement, Sicily, Italy, pp. 356-369, 2004.	
		GABRILOVICH et al., "The Homograph Attack", Communications of the ACM, 45(2):128, February 2002.	
		BALVANZ, Jeff, et al., "Spam Software Evaluation, Training, and Support: Fighting Back to Reclaim the Email Inbox," in the Proc. of the 32nd Annual ACM SIGUCCS Conference on User Services, Baltimore, MD, pp. 385-387, 2004.	

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